



Serial No. 09/669,215

PATENT
RCA 89,921

2152
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : LARRY CECIL BROWN
Serial No. : 09/669,215
Filed : September 25, 2000
For : OPERATIONAL STATUS IDENTIFICATION
SYSTEM FOR A MODEM OR OTHER
COMMUNICATION SYSTEM
Art Unit : 2152

Technology Center 2100

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INFORMATION DISCLOSURE STATEMENT

- ☒ 1 Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]
☐ 2 Certification Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
☐ 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)
[before issue fee payment]

Hon. Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
☐ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
☐ 8 The required certification made in item 11 below; or
☐ 9 The \$240.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
☐ 10 37 CFR § 1.97(d): [before issue fee payment]; and
(a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g., "Allowed Files, Batch ___, Date of Allowance ___"), and
(b) The required Certification is stated in item 11 below.

☒ 11 Certification

☒ 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

☐ 14 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully Submitted,

LARRY CECIL BROWN

BY: 

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Enclosures

October 12, 2001

Certificate Of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in a postage-paid envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

Date: 12 OCTOBER, 2001

Signature


Guy H. Eriksen